In re application of

Koichiro NAKAMURA et al.

Docket No. 2001\_1477A

Serial No. 09/963,554

Group Art Unit 1734

Filed September 27, 2001

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## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the aboveentitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus: (1) [] the certification of paragraph 2 below is provided, or (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed. [] This Information Disclosure Statement is submitted: lc. after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided, and the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed. 2. It is hereby certified that each item of information contained in this Information Disclosure Statement a. [] was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested. For each non-English language reference listed on the attached form PTO-1449, reference 4. is made to: a full or partial English language translation submitted herewith, a. [] - 2 -

c. [] the concise explanation contained in the specification of the present a at page,  d. [] the concise explanation set forth in the attached English language abset.  e. [] the concise explanation set forth below or on a separate sheet attached reference:  [] A foreign patent office search report citing one or more of the references is Respectfully submitted,  Koichiro NAKAMURA et al.  By Warren M. Cheek, Jr. Registration No. 33,367  Attorney for Applicants	b.	[]	a foreign patent office sea herewith,	arch repo	rt (in the English language) submitted
e. [] the concise explanation set forth below or on a separate sheet attache reference:  5. [] A foreign patent office search report citing one or more of the references is Respectfully submitted,  Koichiro NAKAMURA et al.  By	C.	[]		ontained	in the specification of the present applicat
reference:  5. [] A foreign patent office search report citing one or more of the references is  Respectfully submitted,  Koichiro NAKAMURA et al.  By  Warren M. Cheek, Jr.  Registration No. 33,367	d.	[]	the concise explanation se	et forth in	the attached English language abstract,
Respectfully submitted,  Koichiro NAKAMURA et al.  By  Warren M. Cheek, Jr.  Registration No. 33,367	e.	[]		et forth b	elow or on a separate sheet attached to the
By Warren M. Cheek, Jr. Registration No. 33,367	[]	A for	eign patent office search re	eport citii	ng one or more of the references is enclos
By Warren M. Cheek, Jr. Registration No. 33,367				Respe	ectfully submitted,
Registration No. 33,367				Koich	iro NAKAMURA et al.
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WMC/dlk Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 December 7, 2001	лС/dlk	on, D.			